

CITY OF SOCORRO REQUEST FOR QUALIFICATIONS RFQ # 24 - 05

Request for Qualifications (RFQ) for a Transit Development Plan

SUBMITTAL DEADLINE: June 24, 2024 @ 3:00 p.m. (MST)

CITY OF SOCORRO ATTENTION TO: Olivia Navarro, City Clerk RFQ #24-05 124 S. Horizon Blvd. Socorro, Texas 79927 (915) 858-2915

REQUEST FOR QUALIFICATIONS (RFQ) #24-05 TRANSIT DEVELOPMENT PLAN

The City of Socorro is soliciting RFQs for the development of a Transit Development Plan. The City of Socorro desires to select a qualified Transportation Engineering and Planning Firm to prepare a local Transit Development Plan to determine alternative transit approaches for the city addressing a complex problem.

Firms interested in this project may contact Olivia Navarro, Socorro City Clerk, by email at onavarro@costx.us to receive an electronic copy of all solicitation documents.

Statements of Qualifications shall be mailed or hand-delivered to Olivia Navarro, City Clerk for City of Socorro, 124 S. Horizon Blvd., Socorro, Texas 79927, by 3:00 PM (MST), on JUNE 24, 2024. Bids received after 3:00 PM (MOUNTAIN TIME) on JUNE 24, 2024 will be disqualified. Statements will be publicly opened and read aloud at the City of Socorro's Administration Building, 124 S. Horizon Blvd., Socorro, Texas 79927, on JUNE 24, 2024, at 3:00 PM (MOUNTAIN TIME).

- Qualifications will be accepted on or before the deadline identified above.
- Qualifications received after that date and time will be rejected.
- Qualifications will be opened publicly.
- Contact with elected officials, committee members and other staff members is grounds for disqualification.

The City reserves the right to accept or reject any or all proposals and to waive formalities.

This project is supported by the American Rescue Plan Act Coronavirus State and Local Fiscal Recovery Funds (SLFRF) and is subject to all ARPA requirements per solicitation documents.

All submittals must be in a sealed envelope clearly marked on the lower left-hand side with your company name and return address on the envelope with the following:

City of Socorro, Texas Attention To: Olivia Navarro City Clerk Regarding: BID #: 24-05 124 S. Horizon Blvd. Socorro, Texas 79927

If you have any further questions, feel free to contact:

Olivia Navarro City Clerk 124 S. Horizon Blvd. Socorro, Texas 79927 (915) 858-2915 CityClerk@costx.us

REQUEST FOR QUALIFICATIONS RFQ #24-05 TRANSIT DEVELOPMENT PLAN

INTRODUCTION

The El Paso County Rural Transit District has provided transit service at no cost to the City of Socorro, and all areas outside the City of El Paso, for several years. To date, the City is served by five existing transit routes, Route 30, Route 31, Route 40, Route 50, and Route 84. Following the Council's action on June 16, 2023, City of Socorro received notice that the LGC will begin to evaluate the reduction, including full elimination, of transit service within the City of Socorro.

The City of Socorro desires to select a qualified Transportation Engineering and Planning Firm to prepare a local Transit Development Plan to determine alternative transit approaches for the city addressing a complex problem.

Through research, planning, operations, and design the TDP should:

- Assess the current transit service and potential for new transit services to support a range of community and regional goals.
- Establish a framework for identifying transit needs and improvement options for people living in Socorro, Texas.
- Identify opportunities to improve connectivity between other transportation modes, adjacent transit providers, and riders' origins and destinations.

The City of Socorro's focus is to determine if a local transit approach can serve the 38,238 population better than the current transit practices and services. While supporting an economically viable and sustainable community.

SUBMITTAL INSTRUCTIONS

Statements of Qualifications shall be mailed or hand-delivered to Olivia Navarro, City Clerk for City of Socorro, 124 S. Horizon Blvd., Socorro, Texas 79927, by 3:00 PM (MOUNTAIN TIME), on JUNE 24, 2024. Bids received after 3:00 PM (MOUNTAIN TIME) on JUNE 24, 2024 will be disqualified. Statements will be publicly opened and read aloud at the City of Socorro's Administration Building, 124 S. Horizon Blvd., Socorro, Texas 79927, on JUNE 24, 2024, at 3:00PM (MOUNTAIN TIME).

- Qualifications will be accepted on or before the deadline identified above.
- Qualifications received after that date and time will be rejected.
- Qualifications will be opened publicly.
- Contact with elected officials, committee members and other staff members is

grounds for disqualification.

<u>Faxes are not acceptable</u>. A submittal that is incomplete or received after the above stated date and time will not be accepted.

Five (5) qualification documents and an electronic copy in a flash drive should be included, each clearly marked: RFQ for a Transit Development Plan - City of Socorro, Texas. Qualifications should be limited to no more than thirty-five (35) 8.5x 11-inch pages single-sided.

Until the City awards the final contract, the City reserves the right to reject any and/or all bids, to waive technicalities to re-advertise, and to proceed otherwise when in the best interest of the City to do so.

RFQ responses must be signed and submitted by principals, officers, and/or directors of submitting parties, having the required authority to bind their company to a contract.

For more information or questions regarding submission requirements, please contact the City Clerk office at (915) 858-2915, or by email at onavarro@costx.us.

SELECTION PROCESS MILESTONES

The following projected dates are set forth for your knowledge and understanding:

RFQ Issue Date:	June 9, 2024
Tet Q Issue Bute.	June 16, 2024
Submittals from	
Proposers Due:	June 24, 2024 @ 3:00 p.m. (MST)
Evaluation Committee	
Scoring Due:	July 5, 2424
Selection (if any):	July 18, 2024
Execution of	
Agreement:	Estimated August 2024

SCOPE OF WORK

Project Purpose

The Transit Development Plan (TDP) aims to provide short- and long-term strategic guidance to the City of Socorro (City) for transit services and coordination with rural and adjacent transit providers. The Project will examine how the City can best prioritize public investments to enhance its current transit needs. The TDP should:

- Assess the current transit service and potential for new transit services to support a range of community and regional goals.
- Establish a framework for identifying transit needs and improvement options for riders living in Socorro, Texas.

• Identify opportunities to improve connectivity between other transportation modes, adjacent transit providers, and riders' origins and destinations.

The TDP will serve as the basis for a future transit implementation plan. The study area will primarily consist of the area within the City of Socorro city limit, where the City funds transit service.

The following tasks identify the major components of the project effort that the Consultant will undertake as part of the TDP. The City and Consultant could refine the scope of work tasks during the procurement process.

Task 1: Project Management and Coordination

- A. Prepare project management plan.
- B. Prepare monthly progress reports, invoices, and billings.
- C. Establish and maintain a project schedule with key milestones.
- D. Organize and coordinate technical review and oversight committee meetings, including developing supporting materials.

Task 2: Project Initiation

- A. Review previously compiled data and completed and ongoing studies in the study area to include: El Paso County EPATS LGC Study
 - Socorro 2040 City of Socorro Comprehensive Plan (to be adopted Summer 2024)
 - Any other relevant transportation, comprehensive and master plans adopted by entities within the study area
- B. Determine the objectives, vision, and framework of the TDP supplementing the Socorro 2040 City of Socorro Comprehensive Plan.
- C. Prepare a technical memorandum presenting and recommending viable methodologies to develop the TDP.

Task 3: Public Participation Plan

- A. Develop a Public Participation Plan (PPP). The public involvement process is essential since community, local and county government, and business owner support is key to the TPD. The PPP will be used to communicate and effectively engage the community throughout the development of the transit plan.
- B. Review previous public outreach efforts and formulate strategies to gather additional input on transit needs and gaps, enhanced existing services, potential new transit services, and desired features for the end-user experience, and consider innovative approaches to engage vulnerable populations, including senior citizens, limited English speakers, and people with disabilities, among others. This should include a consideration of bilingual public outreach efforts to reach the unique population of Socorro, Texas.
- C. Prepare a technical memorandum analyzing survey results and priorities for

travelers in City of Socorro and recommend an approach for adopting the TDP based on needs and priorities identified in the public involvement process.

Task 4: Existing and Future Conditions and Needs Analysis

- A. Evaluate and understand the demographics necessary to develop a successful TDP. The demographic data may include:
 - Household income Minority population
 - No-car households High school and senior-aged individuals
 - Percent employed population
 - Percent disabled population

Summarize areas of need, growth and activity centers, and popular origins and destinations to determine existing and future transit needs.

- B. Assess **El Paso County Rural Transit District** demand response service performance based on quarterly reports and known rider concerns. On-time performance, trip duration, and other metrics will inform the assessment of ART efficacy for day-to-day travel. The consultant will also provide recommendations to improve the existing service for the City of Socorro community.
- C. Prepare a technical memorandum documenting the existing and future conditions and needs analysis.

Task 5: Evaluate Transit Service Opportunities

- A. Establish a framework to evaluate potential transit service opportunities.
 - Estimate potential ridership based on populations within the zone and nearby populations willing to travel.
- Identify and analyze barriers to transit existing in the Socorro community and impacting potential ridership from accessing transit services.
 - Identify paratransit options within the Americans with Disabilities Act (ADA). Recommend options for maintaining the 5310 Grant service or encompassing all services under one provider.
 - Identify first/last mile needs that can be addressed as part of the more extensive City of Socorro transportation system.
 - B. Identify short and long-term opportunities to improve connectivity between other transportation modes, adjacent transit providers, and riders' origins and destinations.
 - C. Assess the costs of providing potential transit services with existing and additional revenue.
 - D. Recommend future service options, including identifying policy, implementation, organization, and monitoring benchmarks and standards.

Task 6: Final Report

- A. Prepare a draft final report documenting all phases of the Project.
- B. Revise the draft report as appropriate based on input from the City.
- C. Present the final report to City Council.

EVALUATION CRITERIA AND SELECTION PROCESS

A committee of individuals representing the City shall evaluate the qualifications and will rank the qualification statements as submitted. The City reserves the right to request oral interviews with the highest ranked firms. The purpose of the interviews with the highest ranked firms is to allow expansion upon written responses. The City reserves the right to request additional questions to be answered during the interviews, determine the format and content of the interviews, and establish the maximum number of people who attend the interview from each firm. If interviews are conducted, a maximum of three firms will be shortlisted. The Consultant's project manager identified in the document will be required to attend.

SCORING OF QUALIFICATIONS

1.	Qualifications and previous related experience of key personnel to be assigned to this project	25 Points
2.	Understanding of work requirements as outlined in the scope of work, with demonstrated knowledge of the City of Socorro study area	20 Points
3.	Previous related work experience within the past four years, which includes citing previous experience conducting transit studies in similar cities,	30 Points
4.	Previous Outreach experience	15 Points
5.	Project management approach and timeline.	10 Points

ANTICIPATED AGREEMENT PROVISIONS

The following information is furnished to the Proposers for consideration during the preparation of their response to this RFQ. The information is representative of certain provisions that the COS expects to incorporate into the agreement for consultant services but should not be considered an exhaustive list or final language of such anticipated provisions.

PROFESSIONAL LIABILITY The Consultant must have professional liability insurance coverage of not less than \$1,000,000. If the present coverage is insufficient, the Consultant must obtain additional coverage prior to the initiation of the work. The coverage must extend a minimum of three (3) years beyond the completion of the Services.

SUBCONSULTANT SERVICES The use of subconsultants must be approved in writing and in advance by the COS. The subconsultants must be qualified to perform all work assigned to them. Responsibility for sublet, assigned, or transferred work will remain with the Consultant.

INSPECTION OF BOOKS AND RECORDS The COS, or any duly authorized representative of the COS, may at all reasonable times inspect and examine the books and records of the Consultant for the purpose of: (a) checking the salary costs and other expenses described and/or contemplated in the Agreement; or (b) otherwise confirming the Consultant's compliance with the terms of the Agreement. The Consultant shall maintain said books and records and other evidence pertaining to costs, and shall make such materials available at its office, during the term of the Agreement and for a period of three (3) years after the date of final payment thereunder or such time as is required by state or federal law or regulation.

OWNERSHIP AND USE OF DOCUMENTS Notwithstanding any provision in the Agreement or in common law or statute to the contrary, all of the documents, tracings, computer records, discs and tapes, proposals, sketches, diagrams, charts, calculations, correspondence, memoranda, logs, survey notes, test procedures, test data, recommendations, reports, and other data and materials, including digital files (GIS, CAD, etc.), and any part thereof, compiled or to be compiled by or on behalf of the Consultant, together with all materials and data furnished to it by the COS, shall at all times be and remain the property of the COS and shall not be subject to any restriction or limitation on their further use by or on behalf of the COS. The Consultant shall submit all such studies, reports of all types, specifications, and drawings in printed form and in electronic form as prescribed by the COS.

PROFESSIONAL SERVICES CONTRACT If your firm is accepted and a contract is issued, then this RFQ and all documents attached hereto including any amendments, and any other written offers/clarifications made by the Offeror and accepted by the City of Socorro, will be incorporated into a contract between the City of Socorro and the Proposer, it shall contain all the terms and conditions agreed on by the parties hereto, and no other agreement regarding the subject matter of this RFQ shall be determined to exist or bind any of the parties hereto.

The submission of a Statement of Qualifications shall be considered as a representation that the Proposer has carefully investigated all conditions, has full knowledge of the scope, nature and quality of work required, and is familiar with all applicable Federal, and Local regulations that affect, or may at some future date affect the performance of this contract.

Acceptance of this project will take place only upon award by the City of Socorro's City Council, execution of the contract by the proper City officials, and delivery of the fully executed contract to the Proposer. Acceptance may be revoked at any time prior to delivery of the fully executed contract to the successful Proposer. The contract may be amended only by written agreement between the Proposer and the City of Socorro.

TERMINATION OF CONTRACT The contract may be terminated by the City of Socorro, at any time, for the City's convenience and without cause.

INDEMNIFICATION HOLD-HARMLESS AGREEMENT The Proposer agrees to

hold harmless the City from any and all lawsuits or litigation which may arise at any time from its negligence, gross negligence, or willful misconduct while under contract to the City, and also agrees to indemnify the City from liability imposed upon it as a result of any of its activities hereunder.

NON-DISCRIMINATION In connection with the performance of work under this agreement, the Proposer agrees not to discriminate against any employee or applicant for employment because of age, race, religion, color, marital status, sexual orientation, sex, disability, national origin or ancestry. This provision must be included in all subcontracts.

ARPA REQUIREMENTS The Proposer agrees to comply with all applicable terms and conditions as per Attachment D – American Rescue Plan Act (ARPA) Contract Addendum. Any contract and this RFP between the Proposer and the City of Socorro shall comply with all local, state, and federal laws and regulations, and 'this RFQ and subsequent contract are intended to comply with all regulations and rules under the American Rescue Plan Act ("ARPA"), Sections 602(b) and 603(b) of the Social Security Act, Pub. L. No. 117-2 (March 11, 2021), and related and ancillary rules and regulations. To the extent that this RFQ or any contract awarded hereunder contains terms or conditions inconsistent with such regulations and rules, those regulations and rules shall govern and supercede and control the terms of the RFQ or subsequent contract awarded hereunder.

REQUIRED DISCLOSURES AND FORMS The City requires that any submittal from the Proposer include the following forms attached below.

NOTICE TO VENDORS:

Effective January 1, 2016, Texas Government Code Section 2252.908 requires persons who enter into contract with a government entity to submit a disclosure of interested parties (**Form 1295**) to the governmental entity or state agency at the time the business entity submits the signed contract to the governmental entity or state agency.

Use the following link to access filing instructions: https://www.ethics.state.tx.us/whatsnew/elf info form1295.htm

ATTACHMENT A

SB 252 COMPLIANCE

Effective: September 1, 2017

Verification

I, being over the age of eighteen years and in my official capacity representing an entity that is a party to this contract with the City, hereby swear and verify under oath that:

- (1) Entity does not engage in business with or in the countries of Sudan or Iran, and
- (2) Entity does not engage in business with terrorist organizations

Company/ Entity:	
Signature of Representative and Title:	_
Date:	

ATTACHMENT B

HB 89 COMPLIANCE

Effective: September 1, 2017

SWORN VERIFICATION OF STATEMENT REGARDING: ISRAEL BOYCOTT

AND

PROHIBITION ON CONTRACTING WITH A COMPANY DOING BUSINESS WITH IRAN, SUDAN, OR A FOREIGN TERRORIST ORGANIZATION

In accordance with Texas Government Code Section 2270.02, this Company does not boycott Israel and will not boycott Israel during the term of this contract.

In accordance with Texas Government Code Section 2252 active business operations with Sudan, Iran, a foreign terridentified on divestment statute lists prepared and maintain Accounts.	orist organization or a Company that is
I, (authorized official) the truthfulness and accuracy of the contents of the state the provisions of Subtitle F, Title 10, Government Code C named below:	ments submitted on this certification under
 does not boycott Israel currently; and will not boycott Israel during the term of the contract is not currently listed on the State of Texas Comptroll located at https://comptroller.texas.gov/purchasing/publication 	er's Companies that Boycott Israel List
Company/ Entity:	_
Signature of Representative and Title:	
Date:	

ATTACHMENT C

CONFLICT OF INTEREST QUESTIONNAIRE

CONFLICT OF INTEREST QUESTIONNAIRE For vendor doing business with local governmental entity	FORM CIQ	
This questionnaire reflects changes made to the law by H.B. 23, 84th Leg., Regular Session.	OFFICE USE ONLY	
This questionnaire is being filed in accordance with Chapter 176, Local Government Code, by a vendor who has a business relationship as defined by Section 176.001(1-a) with a local governmental entity and the vendor meets requirements under Section 176.006(a). By law this questionnaire must be filed with the records administrator of the local governmental entity not later than the 7th business day after the date the vendor becomes aware of facts that require the statement to be	Date Received	
filed. See Section 176.006(a-1), Local Government Code. A vendor commits an offense if the vendor knowingly violates Section 176.006, Local Government Code. An offense under this section is a misdemeanor.		
Name of vendor who has a business relationship with local governmental entity.		
Check this box if you are filing an update to a previously filed questionnaire. (The law re completed questionnaire with the appropriate filing authority not later than the 7th busines you became aware that the originally filed questionnaire was incomplete or inaccurate.)	s day after the date on which	
3 Name of local government officer about whom the information is being disclosed.		
Name of Officer		
Describe each employment or other business relationship with the local government officer, or a family member of the officer, as described by Section 176.003(a)(2)(A). Also describe any family relationship with the local government officer. Complete subparts A and B for each employment or business relationship described. Attach additional pages to this Form CIQ as necessary. A. Is the local government officer or a family member of the officer receiving or likely to receive taxable income, other than investment income, from the vendor? Yes No B. Is the vendor receiving or likely to receive taxable income, other than investment income, from or at the direction of the local government officer or a family member of the officer AND the taxable income is not received from the local governmental entity? Yes No		
5 Describe each employment or business relationship that the vendor named in Section 1 maintains with a corporation or other business entity with respect to which the local government officer serves as an officer or director, or holds an ownership interest of one percent or more.		
Check this box if the vendor has given the local government officer or a family member as described in Section 176.003(a)(2)(B), excluding gifts described in Section 176.007 (a) (b) (c) (c) (d) (d) (d) (e) (e) (e) (e) (e) (e) (e) (e) (e) (e		
Signature of vendor doing business with the governmental entity	Date	

Form provided by Texas Ethics Commission

www.ethics.state.tx.us

Revised 1/1/2021

CONFLICT OF INTEREST QUESTIONNAIRE For vendor doing business with local governmental entity

A complete copy of Chapter 176 of the Local Government Code may be found at http://www.statutes.legis.state.tx.us/Docs/LG/htm/LG.176.htm. For easy reference, below are some of the sections cited on this form.

<u>Local Government Code § 176.001(1-a)</u>: "Business relationship" means a connection between two or more parties based on commercial activity of one of the parties. The term does not include a connection based on:

- (A) a transaction that is subject to rate or fee regulation by a federal, state, or local governmental entity or an agency of a federal, state, or local governmental entity;
- (B) a transaction conducted at a price and subject to terms available to the public; or
- (C) a purchase or lease of goods or services from a person that is chartered by a state or federal agency and that is subject to regular examination by, and reporting to, that agency.

Local Government Code § 176.003(a)(2)(A) and (B):

- (a) A local government officer shall file a conflicts disclosure statement with respect to a vendor if:
 - (2) the vendor:
 - (A) has an employment or other business relationship with the local government officer or a family member of the officer that results in the officer or family member receiving taxable income, other than investment income, that exceeds \$2,500 during the 12-month period preceding the date that the officer becomes aware that
 - (i) a contract between the local governmental entity and vendor has been executed;
 - (ii) the local governmental entity is considering entering into a contract with the vendor;
 - (B) has given to the local government officer or a family member of the officer one or more gifts that have an aggregate value of more than \$100 in the 12-month period preceding the date the officer becomes aware that:
 - (i) a contract between the local governmental entity and vendor has been executed; or
 - (ii) the local governmental entity is considering entering into a contract with the vendor.

Local Government Code § 176.006(a) and (a-1)

- (a) A vendor shall file a completed conflict of interest questionnaire if the vendor has a business relationship with a local governmental entity and:
 - has an employment or other business relationship with a local government officer of that local governmental entity, or a family member of the officer, described by Section 176.003(a)(2)(A);
 - (2) has given a local government officer of that local governmental entity, or a family member of the officer, one or more gifts with the aggregate value specified by Section 176.003(a)(2)(B), excluding any gift described by Section 176.003(a-1); or
 - (3) has a family relationship with a local government officer of that local governmental entity.
- (a-1) The completed conflict of interest questionnaire must be filed with the appropriate records administrator not later than the seventh business day after the later of:
 - (1) the date that the vendor:
 - (A) begins discussions or negotiations to enter into a contract with the local governmental entity; or
 - (B) submits to the local governmental entity an application, response to a request for proposals
 or bids, correspondence, or another writing related to a potential contract with the local
 governmental entity; or
 - (2) the date the vendor becomes aware:
 - (A) of an employment or other business relationship with a local government officer, or a family member of the officer, described by Subsection (a);
 - (B) that the vendor has given one or more gifts described by Subsection (a); or
 - (C) of a family relationship with a local government officer.

Form provided by Texas Ethics Commission

www.ethics.state.tx.us

Revised 1/1/2021

Attachment D American Rescue Plan Act (ARPA) Contract Addendum

<u>Notice:</u> The contract or purchase order to which this addendum is attached is made using federal assistance provided to the City of Socorro by the US Department of Treasury under the American Rescue Plan Act ("ARPA"), Sections 602(b) and 603(b) of the Social Security Act, Pub. L. No. 117-2 (March 11, 2021).

The following terms and conditions apply to you, the contractor or vendor, as a contractor of the City of Socorro, according to the City's Award Terms and Conditions; by ARPA and its implementing regulations; and as established by the Treasury Department.

1. Equal Opportunity. Contractor shall comply with Executive Order 11246, "Equal Employment Opportunity," as amended by EO 11375, "Amending Executive Order 11246 Relating to Equal Employment Opportunity," and as supplemented by regulations at 41 CFR part 60, "Office of Federal Contract Compliance Programs, Equal Employment Opportunity, Department of Labor."

Minority and Women Business Enterprises (if applicable to this Contract)

Contractor hereby agrees to comply with the following when applicable: The requirements of Executive Orders 11625 and 12432 (concerning Minority Business Enterprise), and 12138 (concerning Women's Business Enterprise), when applicable. Accordingly, the Contractor hereby agrees to take affirmative steps to assure that women and minority businesses are utilized when possible as sources of supplies, equipment, construction and services. Affirmative steps shall include the following:

- a) Including qualified women's business enterprises and small and minority businesses on solicitation lists;
- b) Assuring that women's enterprises and small and minority businesses are solicited whenever they are potential sources;
- c) When economically feasible, dividing total requirements into smaller tasks or quantities so as to permit maximum participation by small and minority business, and women's business enterprises;
- d) Where the requirement permits, establishing delivery schedules which will encourage participation by women's business enterprises and small and minority business;
- e) Using the services and assistance of the Small Business Administration, and the U.S. Office of Minority Business Development Agency of the Department of Commerce; and
- f) If any subcontracts are to be let, requiring the prime Contractor to take the affirmative steps through the above.

For the purposes of these requirements, a Minority Business Enterprise (MBE) is defined as an enterprise that is at least 51 percent owned and controlled in its daily operation by members of the following groups: Black, Hispanic, Asian or Pacific Islander, American Indian, or Alaskan Natives. A Women Business Enterprise (WBE) is defined as an enterprise that is at least 51 percent owned and controlled in its daily operation by women.

2. Suspension and Debarment. (applies to all purchases.)

a. This contract is a covered transaction for purposes of 2 CFR pt. 180 and 2 CFR pt. 3000. As such, the Contractor is required to verify that none of Contractor's principals (defined at 2 CFR § 180.995) or its affiliates (defined at 2 CFR §

- 180.905) are excluded (defined at 2 CFR § 180.940) or disqualified (defined at 2 CFR § 180.935).
- b. The Contractor must comply with 2 CFR pt. 180, subpart C and 2 CFR pt. 3000, subpart C, and must include a requirement to comply with these regulations in any lower tier covered transaction it enters into.
- c. This certification is a material representation of fact relied upon by the City of Socorro. If it is later determined that the contractor did not comply with 2 CFR pt. 180, subpart C and 2 CFR pt. 3000, subpart C, in addition to remedies available to the City, the Federal Government may pursue available remedies, including but not limited to suspension and/or debarment.
- d. The Contractor agrees to comply with the requirements of 2 CFR pt. 180, subpart C and 2 CFR pt. 3000, subpart C while this offer is valid and throughout the period of any contract that may arise from this offer. The Contractor further agrees to include a provision requiring such compliance in its lower tier covered transactions.
- 3. Byrd Anti-Lobbying Amendment, 31 U.S.C. § 1352, as amended. (Applies to all purchases.) Contractor certifies that it will not and has not used Federal appropriated funds to pay any person or organization for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, officer or employee of Congress, or an employee of a Member of Congress in connection with obtaining any Federal contract, grant, or any other award covered by 31 U.S.C. § 1352. Contractor shall also disclose any lobbying with non-Federal funds that takes place in connection with obtaining any Federal award. Such disclosures are forwarded from tier to tier up to the recipient who in turn will forward the certification(s) to the awarding agency.

Purchases over \$100,000 - Contractors must sign the certification on the last page of this addendum

4. Access to Records. (applies to all purchases.)

- a. The Contractor agrees to provide the City of Socorro, the U.S. Department of Treasury, the Comptroller General of the United States, or any of their authorized representatives access to any books, documents, papers, and records of the Contractor which are directly pertinent to this contract for the purposes of making audits, examinations, excerpts, and transcriptions. The Contractor agrees to permit any of the foregoing parties to reproduce by any means or to copy excerpts and transcriptions as reasonably needed, and agrees to cooperate with all such requests.
- b. The Contractor agrees to provide the Treasury Department or authorized representatives access to construction or other work sites pertaining to the work being completed under the contract.
- c. No language in this contract is intended to prohibit audits or internal reviews by the Treasury Department or the Comptroller General of the United States.
- <u>5.</u> <u>Rights to Inventions Made Under a Contract or Agreement.</u> Contracts or agreements for the performance of experimental, developmental, or research work shall provide for

the rights of the Federal Government and the recipient in any resulting invention in accordance with 37 CFR part 401, "Rights to Inventions Made by Nonprofit Organizations and Small Business Firms Under Government Grants, Contracts and Cooperative Agreements," and any applicable implementing regulations.

6. Contract Work Hours and Safety Standards Act (40 U.S.C. 327 through 333) (applies only to purchases over \$100,000, when laborers or mechanics are used.)

Where applicable, all contracts in excess of \$100,000 that involve the employment of mechanics or laborers shall include a provision for compliance with 40 U.S.C. 3702 and 3704 of the Contract Work Hours and Safety Standards Act, as supplemented by Department of Labor regulations (29 CFR part 5). Under Section 3702 of the Act, each contractor shall be required to compute the wages of every mechanic and laborer on the basis of a standard workweek of 40 hours. Work in excess of the standard workweek is permissible provided that the worker is compensated at a rate of not less than 1 1/2 times the basic rate of pay for all hours worked in excess of 40 hours in the workweek. The requirements of 40 U.S.C. 3704 are applicable to construction work and provides that no laborer or mechanic shall be required to work in surroundings or under working conditions which are unsanitary, hazardous or dangerous. These requirements do not apply to the purchases of supplies or materials or articles ordinarily available on the open market, or contracts for transportation or transmission of intelligence.

7. Clean Air Act & Federal Water Pollution Control Act (applies to purchases of more than

\$150,000.)

- a. The Contractor agrees to comply with all applicable standards, orders or regulations issued pursuant to the Clean Air Act, as amended, 42 U.S.C. § 7401 et seq.
- b. The Contractor agrees to comply with all applicable standards, orders, or regulations issued pursuant to the Federal Water Pollution Control Act, as amended, 33 U.S.C. 1251 et seq.
- c. The Contractor agrees to report each violation of the Clean Air Act and the Water Pollution Control Act to the City of Socorro and understands and agrees that the City will, in turn, report each violation as required to assure notification to the Federal Emergency Management Agency, and the appropriate Environmental Protection Agency Regional Office.
- d. Contractor agrees to include these requirements in each subcontract exceeding \$150,000 financed in whole or in part with Federal assistance.

8. Prohibition on certain telecommunications and video surveillance services or equipment (Huawei and ZTE)

Contractor is prohibited from obligating or expending loan or grant funds to:

- 1. Procure or obtain;
- 2. Extend or renew a contract to procure or obtain; or
- 3. Enter into a contract (or extend or renew a contract) to procure or obtain equipment, services, or systems that uses covered telecommunications equipment or services as a substantial or essential component of any system, or as critical technology as part of any

system. As described in Public Law 115–232, section 889, covered telecommunications equipment is telecommunications equipment produced by **Huawei Technologies Company or ZTE Corporation** (or any subsidiary or affiliate of such entities).

- a. For the purpose of public safety, security of government facilities, physical security surveillance of critical infrastructure, and other national security purposes, video surveillance and telecommunications equipment produced by Hytera Communications Corporation, Hangzhou Hikvision Digital Technology Company, or Dahua Technology Company (or any subsidiary or affiliate of such entities).
- b. Telecommunications or video surveillance services provided by such entities or using such equipment.
- c. Telecommunications or video surveillance equipment or services produced or provided by an entity that the Secretary of Defense, in consultation with the Director of the National Intelligence or the Director of the Federal Bureau of Investigation, reasonably believes to be an entity owned or controlled by, or otherwise connected to, the government of a covered foreign country.

9. Buy USA - Domestic Preference for certain procurements using federal funds.

Contractor should, to the greatest extent practicable under a Federal award, provide a preference for the purchase, acquisition, or use of goods, products, or materials produced in the United States (including but not limited to iron, aluminum, steel, cement, and other manufactured products). The requirements of this section must be included in all subawards including all contracts and purchase orders for work or products under this award. For purposes of this section:

- a. "Produced in the United States" means, for iron and steel products, that all manufacturing processes, from the initial melting stage through the application of coatings, occurred in the United States.
- b. "Manufactured products" means items and construction materials composed in whole or in part of non-ferrous metals such as aluminum; plastics and polymer-based products such as polyvinyl chloride pipe; aggregates such as concrete; glass, including optical fiber; and lumber.

10. Procurement of Recovered Materials: (applies only if the work involves the use of materials)

- a. In the performance of this contract, the Contractor shall make maximum use of products containing recovered materials that are EPA-designated items unless the product cannot be acquired:
 - i. Competitively within a timeframe providing for compliance with the contract performance schedule;
 - ii. Meeting contract performance requirements; or
 - iii. At a reasonable price.
- b. Information about this requirement, along with the list of EPA- designated items, is available at EPA's Comprehensive Procurement Guidelines web site, https://www.epa.gov/smm/comprehensive-procurement-guideline-cpg-program.
- c. The Contractor also agrees to comply with all other applicable requirements of Section 6002 of the Solid Waste Disposal Act.

- 11. <u>Publications.</u> Any publications produced with funds from this award must display the following language: "This project [is being] [was] supported, in whole or in part, by federal award number [enter project FAIN] awarded to [name of Recipient] by the U.S. Department of the Treasury."
- 12. Increasing Seat Belt Use in the United States. Pursuant to Executive Order 13043, 62 FR 19217 (Apr. 18, 1997), Contractor is encouraged to adopt and enforce on-the-job seat belt policies and programs for your employees when operating company-owned, rented or personally owned vehicles.
- 13. Reducing Text Messaging While Driving. Pursuant to Executive Order 13513, 74 FR 51225 (Oct. 6, 2009), Contractor is encouraged to adopt and enforce policies that ban text messaging while driving, and establish workplace safety policies to decrease accidents caused by distracted drivers.

I name), have read and understand the contra	(name/title) ofactor requirements for ARPA-funded of	(company contracts.
Signature of Contractor's Authorized Office	Date:	
(Print name of person signing above)		
(Title of person signing above)		

- This form is required only for Contracts, Grants, Loans, Transfers, or Direct Payments equal to or greater than \$50,000 -

Subrecipient identifying and demographic	
information (e.g., UEI/TIN number and location)	
Award number (e.g., Award number, Contract	
number, Loan number)	
Award date, type, amount, and description	
Award payment method (reimbursable or lump	
sum payment(s))	
For loans, expiration date (date when loan	
expected to be paid in full)	
Primary place of performance	
Related project name(s)	
Related project identification number(s) (created	
by the recipient)	
Period of performance start date	
Period of performance end date	
Quarterly obligation amount	
Quarterly expenditure amount	
Project(s)	
Additional programmatic performance indicators	
for select Expenditure Categories	
Period of performance start date Period of performance end date Quarterly obligation amount Quarterly expenditure amount Project(s) Additional programmatic performance indicators	

- This form is required only for purchases of more than \$100,000 -

31 CFR Part 21 - New Restrictions on Lobbying - CERTIFICATION REGARDING LOBBYING

The undersigned certifies, to the best of their knowledge and belief, that:

- 1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- 2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
- 3. The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all contractors shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

The Contractor certifies or affirms the truthfulness and accuracy of each statement of its certification and disclosure, if any. In addition, the Contractor understands and agrees that the provisions of 31 U.S.C. Ch. 38, Administrative Remedies for False Claims and Statements, apply to this certification and disclosure, if any.

	Date:	
Signature of Contractor's Authorized Official		
(Print name of person signing above)		
(Print title of person signing above)		

ATTACHMENT E

SB 252 Contractor Certification Form

Prohibition on Contracts with Terrorist Organizations

The Texas Legislature approved legislation that will impact all government contracts for goods and services. This addendum requires a certification from any vendor/independent contractor entering into a contract with a governmental entity to ensure the vendor/contractor complies with the requirements stipulated herein.

Pursuant to Texas Government Code, Chapter 2252, Section 2252.152 and Section 2252.153:

SB 252 prohibits governmental entities from contracting with parties on the State Comptroller's list of companies known to have contracts with, or provide supplies or services to, an organization designated as a Foreign Terrorist Organization by the U.S. Secretary of State. As a result of this law, governmental entities are required to certify that vendors/independent contractors are not on the listing of companies on the website of the Comptroller of the State of Texas which do business with Iran, Sudan, or any Foreign Terrorist Organization.

the company named below: 1) does not do busines	, do hereby the contents of the statements submitted on this certification, that ss with Iran, Sudan or any Foreign Terrorist Organization; and 2) on Terrorist Organization during the term of the contract; and 3) is State of Texas
Signature of Contractor's Authorized Official	Date:
(Print name of person signing above)	
(Print title of person signing above)	

ATTACHMENT F

SB 19 Contractor Certification Form

the company named below: (1) does not have a p	, do hereby the contents of the statements submitted on this certification, that ractice, policy, guidance, or directive that discriminates against a will not discriminate during the term of the contract against a
Signature of Contractor's Authorized Official	Date:
(Print name of person signing above)	
(Print title of person signing above)	

ATTACHMENT G

SB 13 Contractor Certification Form

	, do hereby f the contents of the statements submitted on this certification, that tt energy companies and (2) it will not boycott energy companies
Signature of Contractor's Authorized Official	Date:
(Print name of person signing above)	
(Print title of person signing above)	